

PATENT
Customer No. 22,852
Attorney Docket No. 5725.0568-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Nathalie MOUGIN) Prior Group Art Unit: 1617
)
Application No.: Unassigned) Prior Examiner: L. Wells
(Divisional Application of Application No.)
09/544,394, filed April 5, 2000))
)
Filed: November 26, 2003)
)
For: COMPOSITION COMPRISING)
POLYMERS HAVING A STAR)
STRUCTURE, THE POLYMERS, AND)
THEIR USE)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Copies of the listed documents were previously submitted or cited by the Examiner in prior Application No. 09/544,394, filing date April 5, 2000, upon which Applicant relies for the benefits provided in 35 U.S.C. § 120. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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Any documents not previously submitted or cited by the Examiner in the prior application, are enclosed with this Information Disclosure Statement (see e.g. items 7, 13, 26, 31, 33, 39) listed on the PTO Form 1449).

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Additionally, Applicant would like to bring to the Examiner's attention the following copending applications, which all relate to similar subject matter:

1. **Title:** COMPOSITION COMPRISING POLYMERS
HAVING A STAR STRUCTURE, THE
POLYMERS, AND THEIR USE
By: Nathalie MOUGIN
Filed: May 7, 2002
U.S. Application No.: 10/139,530
Attorney Docket No.: 05725.0564-01
2. **Title:** COMPOSITION COMPRISING POLYMERS
HAVING A STAR STRUCTURE, THE
POLYMERS, AND THEIR USE
By: Nathalie MOUGIN
Filed: January 17, 2003
U.S. Application No.: 10/345,977
Attorney Docket No.: 05725.0565-01
3. **Title:** COMPOSITION COMPRISING POLYMERS
HAVING A STAR STRUCTURE, THE
POLYMERS, AND THEIR USE
By: Nathalie MOUGIN
Filed: September 20, 2002
U.S. Application No.: 10/247,362
Attorney Docket No.: 05725.0571-01
4. **Title:** COMPOSITION COMPRISING POLYMERS
HAVING A STAR STRUCTURE, THE
POLYMERS, AND THEIR USE
By: Nathalie MOUGIN
Filed: September 8, 2003
U.S. Application No.: 10/656,238
Attorney Docket No.: 05725.0570-01

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Applicant notes that co-pending applications 1-3, above and listed on the Form PTO-1449, were previously submitted with and listed on the Information Disclosure Statement filed in the parent application, but were not listed on a Form PTO-1449. Accordingly, Applicant has listed the co-pending applications on the attached Form PTO-1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the present application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

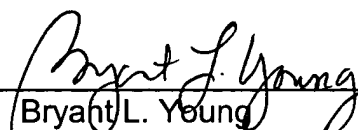
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: November 26, 2003

By:


Bryant L. Young
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INFORMATION DISCLOSURE CITATION

Atty. Docket No.: 5725.0568-01	Appln. No.: U.S. Divisional Application of 09/544,394
Applicant: Nathalie MOUGIN	
Filing Date: November 26, 2003	Prior Group Art Unit: 1617

FOREIGN PATENT DOCUMENTS

		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	27	DE 43 28 004	02/23/95	GERMANY			Abstract
	28	DE 196 02 540	07/31/97	GERMANY			Abstract
	29	EP 0 557 196	8/25/1993	Europe			Abstract
	30	EP 0 639 371	2/22/1995	Europe			Abstract
	31	EP 0 704 477	4/3/1996	Europe			Abstract
	32	WO 86/00626	01/30/86	PCT			
	33	WO 96/17886	6/13/1996	PCT			Abstract
	34	WO 96/33690	10/31/1996	PCT			
	35	WO 96/36323	11/21/1996	PCT			
	36	WO 97/18247	05/22/97	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

37	Co-pending Application No. 10/139,530; Attorney Docket No. 05725.0564-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: May 7, 2002
38	Co-pending Application No. 10/345,977; Attorney Docket No. 05725.0565-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: January 17, 2003
39	Co-pending Application No. 10/656,238; Attorney Docket No. 05725.0570-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: September 8, 2003
40	Co-pending Application No. 10/247,362; Attorney Docket No. 05725.0571-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: September 20, 2002

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Applicant: Nathalie MOUGIN	
Filing Date: November 26, 2003	Prior Group 1617 Art Unit:

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	41	English Language Derwent Abstract of DE 43 28 004
	42	English Language Derwent Abstract of DE 196 02 540
	43	English Language <u>esp@cenet</u> Abstract of EP 0 557 196
	44	English Language <u>esp@cenet</u> Abstract of WO 96/17886
	45	English Language <u>esp@cenet</u> Abstract of EP 0 704 477
	46	Seiya Kobatake et al., "Synthesis of Nitroxy-Functionalized Polybutadiene by Anionic Polymerization Using a Nitroxy-Functionalized Terminator", Macromolecules, Vol. 30, No. 14, July 14, 1997, pp. 4238-4241
	47	Product Information for Styrolux 684D (09-1998) available from the BASF Company at www.basf.de (last checked 04-1-2002)
	48	"Polymer Chemistry," second edition, 1988 Seymour et al., Marcel Dekker, Inc., pp. 354-358
	49	"Polymer Handbook," third edition, 1992 John Wiley and Sons, Brandrup et al., p. II-193

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

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Atty. Docket No.: 5725.0568-01	Appln. No.: U.S. Divisional Application of 09/544,394
Applicant: Nathalie MOUGIN	
Filing Date: November 26, 2003	Prior Group 1617 Art Unit:

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	1	3,907,984	Sep 1975	Calvert et al.	424	47	
	2	4,659,783	April 1987	Spinelli	525	293	
	3	5,221,534	June 1993	DesLauriers et al.	424	78.03	
	4	5,310,807	May 1994	Antonelli et al.	525	286	
	5	5,362,813	Nov 1994	Antonelli et al.	525	286	
	6	5,371,147	Dec 1994	Spinnelli et al.	525	288	
	7	5,525,636	June 1996	Henn et al.	521	59	
	8	5,527,524	June 1996	Tomalia et al.	424	1.33	
	9	5,552,491	Sep 1996	Mishra et al.	525	299	
	10	5,807,937	Sep 1998	Matyjaszewski et al.	526	135	
	11	5,849,278	Dec 1998	Piot et al.	424	70.7	
	12	5,804,664	Sep 1998	Kennedy et al.	525	314	
	13	5,900,464	May 1999	Letchford et al.	525	94	
	14	5,919,442	July 1999	Yin et al.	424	78.18	
	15	5,986,020	Nov 1999	Campbell et al.	526	64	
	16	6,001,342	Dec 1999	Forestier et al.	424	76.1	
	17	6,013,735	Jan 2000	Mishra et al.	525	299	
	18	6,024,948	Feb 2000	Samain et al.	424	70.16	
	19	6,090,902	July 2000	Kuo et al.	526	279	
	20	6,113,882	Sep 2000	Mougin et al.	424	47	
	21	6,124,411	Sep 2000	Matyjaszewski et al.	526	111	
	22	6,132,736	Oct 2000	Mellul et al.	424	401	
	23	6,139,827	Oct 2000	Cohen et al.	424	70.16	
	24	6,150,468	Nov 2000	Schoenberg et al.	525	222	
	25	6,476,124	Nov 2002	Mougin	525	64	
	26	6,552,146	April 2003	Mougin	526	319	